

TRANSLATION

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PAT01151 (A) P	FOR FURTHER ACTION	See Form PCT/IPEA/416
International application No. PCT/EP2004/008226	International filing date (<i>day/month/year</i>) 23.07.2004	Priority date (<i>day/month/year</i>) 27.08.2003
International Patent Classification (IPC) or national classification and IPC B05D7/00, B05D7/16		
Applicant BASF COATINGS AG		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of <u>6</u> sheets, including this cover sheet.
3. This report is also accompanied by ANNEXES, comprising: a. <input type="checkbox"/> (<i>sent to the applicant and to the International Bureau</i>) a total of _____ sheets, as follows: <input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). <input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. <input type="checkbox"/> (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).
4. This report contains indications relating to the following items: <input checked="" type="checkbox"/> Box No. I Basis of the report <input type="checkbox"/> Box No. II Priority <input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability <input type="checkbox"/> Box No. IV Lack of unity of invention <input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement <input checked="" type="checkbox"/> Box No. VI Certain documents cited <input type="checkbox"/> Box No. VII Certain defects in the international application <input type="checkbox"/> Box No. VIII Certain observations on the international application

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

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Box No. I Basis of the report

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ This report is based on translations from the original language into the following language _____, which is the language of a translation furnished for the purposes of:
- ☐ international search (Rule 12.3 and 23.1(b))
- ☐ publication of the international application (Rule 12.4)
- ☐ international preliminary examination (Rule 55.2 and/or 55.3)
2. With regard to the **elements** of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:
- ☐ the international application as originally filed/furnished
- ☒ the description:
- pages 1-39 _____ as originally filed/furnished
- pages* _____ received by this Authority on _____
- pages* _____ received by this Authority on _____
- ☒ the claims:
- nos. 1-20 _____ as originally filed/furnished
- nos.* _____ as amended (together with any statement) under Article 19
- nos.* _____ received by this Authority on _____
- nos.* _____ received by this Authority on _____
- ☐ the drawings:
- sheets _____ as originally filed/furnished
- sheets* _____ received by this Authority on _____
- sheets* _____ received by this Authority on _____
- ☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.
3. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages _____
- ☐ the claims, nos. _____
- ☐ the drawings, sheets/figs _____
- ☐ the sequence listing (*specify*): _____
- ☐ any table(s) related to sequence listing (*specify*): _____
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
- ☐ the description, pages _____
- ☐ the claims, nos. _____
- ☐ the drawings, sheets/figs _____
- ☐ the sequence listing (*specify*): _____
- ☐ any table(s) related to sequence listing (*specify*): _____

* If item 4 applies, some or all of those sheets may be marked "superseded."

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Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
1.	Statement
	Novelty (N)
	Claims 1-20 YES
	Claims NO
	Inventive step (IS)
	Claims YES
	Claims 1-20 NO
	Industrial applicability (IA)
	Claims 1-20 YES
	Claims NO
2.	Citations and explanations (Rule 70.7)
	Reference is made to the following documents:
	D1: DE 199 48 004 A (BASF COATINGS AG), 12 July 2001 (2001-07-12)
	D2: DE 103 11 476 A (BASF COATINGS AG), 23 September 2004 (2004-09-23)
	D3: DE 101 24 576 A (BASF COATINGS AG), 12 December 2002 (2002-12-12)
	Inventive step (PCT Article 33(3))
	(1) The present application does not meet the requirements of PCT Article 33(1), since the subject matter of claims 1-20 does not involve an inventive step (PCT Article 33(3)).
	Document D1 is considered the prior art closest to the subject matter of claims 1-20 and discloses (see D1, page 14, lines 13-18; page 16, lines 24-55; examples 1-3):
	a method for producing chromophoric and/or effect-producing multilayer coatings on

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	<p>substrates.</p> <p>The subject matter of claims 1-20 therefore differs from the method that is known from D1 in that:</p> <p>the multilayer coating comprises three layers:</p> <p>a first chromophoric and/or effect-producing coating;</p> <p>a second chromophoric and/or effect-producing coating;</p> <p>a transparent coating.</p> <p>Document D3 describes a method for producing chromophoric and/or effect-producing multilayer coatings comprising three layers.</p> <p>In view of these observations, a person skilled in the art would consider it routine procedure to combine all of the features specified in claim 1.</p> <p>Consequently, claim 1 cannot be considered inventive (PCT Article 33(3)).</p> <p>Dependent claims 2-20 also do not contain any features which, in combination with the features of any claim to which they refer, meet the PCT requirements for inventive step (PCT Article 33(3)).</p> <p>(2) The invention according to claims 1-20 consists</p>

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	<p>merely in a new application of the coating substance that is known from document D1. In the context of this new application, however, the only properties of this substance that are utilised are those which are also already clearly known from document D1 (page 3, lines 10-13). The subject matter of claims 1-20 therefore does not involve an inventive step (PCT Article 33(3)).</p>

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Box No. VI

Certain documents cited

1. Certain published documents (Rule 70.10)

Application No.
Patent No.Publication date
(day/month/year)Filing date
(day/month/year)Priority date (valid claim)
(day/month/year)

2. Non-written disclosures (Rule 70.9)

Kind of non-written disclosure

Date of non-written disclosure
(day/month/year)Date of written disclosure
referring to non-written disclosure
(day/month/year)